# *The pSather 1.0 Manual*

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**Abstract**

This document describes pSather 1.0, the parallel and distributed extension to Sather 1.0 (see ICSI tech report TR-95-057). pSather adds support for threads, synchronization, communication, and placement of objects and threads. The ICSI compiler supported this 1.0 specification through much of 1995. There are later specifications which supercede this document; check the WWW site `http://www.icsi.berkeley.edu/Sather`.

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# *pSather 1.0 - Tutorial*

## EXAMPLES

### Gate examples

Gates can be used as *futures*:

```
g: := #GATE{FLT}; -- Create a gate with queue of FLTs
g :- compute;
...
result := g.get;
```

The statement "g :- compute;" creates a new thread to do some computation; the current thread can continue to execute. It is suspended only if the result is needed and not available.

Obtaining the first result from several competing searches:

```
g :- search(strategy1);
g :- search(strategy2);
g :- search(strategy3);
result := g.get;
g.clear;
```

When one of the threads succeeds, its result is enqueued in g. After retrieving this result the other threads are terminated by "g.clear".

### Fork, par, and parloop examples

In the following code A and B can execute concurrently. After both A and B complete, C and D can execute concurrently. E must wait for A, B, C and D to terminate before executing.

```
par
    par
        fork A end;
        B
    end;
    fork C end;
    D
end;
E
```

This code applies frobnify using a separate thread for each element of an array.

```
par
    loop   e: := a.elt!;
        fork e.frobnify end
    end
end
```

The same code can be written:

```
parloop e: := a.elt! do
    e.frobnify
end
```

This code applies phase1 and phase2 to each element of an array, waiting for all phase1 to complete before beginning phase2 (barrier sync):

```
parloop e: := a.elt! do e.phase1 end;
parloop e: := a.elt! do e.phase2 end
```

This code does the same thing without iterating over the elements for each phase:

```
parloop e: := a.elt! do
    e.phase1;
    cohort.sync;
    e.phase2;
end
```

Because local variables declared in the parloop become unique to each thread, the explicit barrier sync in the last example is useful to allow convenient passing of state from one phase to another through the thread's local variables, instead of using an intermediate array with one element for each thread.

It is possible to clear all threads at once and resume execution with the thread outside the par statement in this way:

```
answer:FOO; -- This is outside the par so it is shared
parloop e: := a.elt! do
    result: := e.search;
    if ~void(result) then
        answer := result;
        cohort.clear -- This clears all threads in the par, even the thread executing the 'elt!'
    end
end
-- Now answer can be used
```

### Locking examples

The following code computes the maximum value in an array by using a thread to compute the max of each subrange:

```
global_max:FLT:=a[0]; -- Outside the par body so this is shared
parloop
    i:=0.upto!(a.size-1, 1024);-- Step by 1024. Each thread works on 1024 elements
do
    m:FLT:=a[i]; -- This is local to each body thread
    loop
        m:=m.max(a.elt!(i,1024)) -- Yield 1024 elements starting at index 'i'
    end
    lock cohort then -- Obtain mutual exclusion
        global_max:=global_max.max(m)
    end
end
```

This code implements five dining philosophers:

```
chopsticks : := #ARRAY{MUTEX}(5);
loop chopsticks.set!(#MUTEX) end;
parloop
    i: := 0.upto!(4);
do
    loop
        think;
        lock chopsticks[i], chopsticks[(i+1).mod(5)] then
            eat
        end
    end
end
```

### Memory consistency examples

The following incorrect code may loop forever waiting for flag, print "i is 1", or print "i is 0". The code fails because it is trying to use flag to signal completion of "i:=1", but there is no appropriate synchronization occuring between the forked thread and the body thread. Even though the thread terminates, the modification of flag may not be ob-

served because there is no import in the body thread. Even if the modification to flag is observed, there is no guarantee that a modification to i will be observed before this, if at all.

```
i:INT; -- These variables are shared
flag:BOOL;
par
    fork
        i := 1;
        flag := true;
    end;
    loop until!(flag) end-- Attempt to loop until change observed
    #OUT + "i is" + i + '\n'
end
```

The code below will always print "i is 1" because there is no race condition. An export occurs when the forked thread terminates, and an import occurs when par completes. Therefore the change to 'i' must be observed.

```
i:INT; -- This is a shared variable
par
    fork i:=1 end;
end
#OUT + "i is" + i + '\n'
```

### Locality examples

This code creates an unfixed object and then inserts it into a table, taking care that the insertion code runs at the same cluster as the table:

```
table.insert(#FOO @ any) @ where(table);
```

To make sure the object is fixed at the same cluster as the table, one could write

```
loc: := where(table);
table.insert(#FOO @ loc) @ loc;
```

or

```
fork @ table(where);
    table.insert(#FOO)
end
```

To recursively copy only that portion of a binary tree which is near,

```
near_copy:NODE is
    if near(self) then return #NODE(lchild.near_copy, rchild.near_copy)
    else return self
    end
end
```

### Spread example

On a machine with one processor per cluster, spread might be used to implement a spread vector with subranges distributed across the clusters:

```
spread class SPREAD_VEC is
    attr subrange:VEC; -- There is one vector on each cluster; this is a pointer to it.
    ...
    plus(b:SAME):SAME is
        res: := new;
        parloop do @ clusters!; -- Idiom recognized by compiler; implemented as a broadcast
            res.subrange := subrange + b.subrange
        end;
        return res
    end
    ...
end
```

This implementation will not perform well on architectures with more than one processor per cluster; a more portable class would be written

```
spread class DIST_VEC is
    attr chunks:ARRAY{VEC}; -- There are one or more chunks per processor
    ...
    plus(b:SAME):SAME is
        res: := new;
        res.chunks := #(size);
        parloop do @ clusters!; -- Execute on each cluster
            parloop i: := chunks.ind!; do -- Fork for each chunk
                res.chunks[i] := chunks[i] + b.chunks[i]
            end
        end;
        return res
    end
    ...
end
```

## A Code Example

This program takes a distributed vector of FLTs, computes the maximum value, and prints how many times this value appears in each of the chunks constituting the distributed vector.

```
class MAIN is
    main is

        -- 'vec' is a Distributed VECtor, composed of many VECs, each
        -- of which is an array of FLT (IEEE single precision).
        vec:DVEC:= ... -- Read vec in from a file

        -- 'big' is a local FLT variable which will be shared by all
        -- threads in this routine.  'big_lk' will be used to guarantee
        -- atomicity during the max computation.
        big::= - FLT::infinity;
        big_lk::=#MUTEX;

        -- 'counts' is an array of the results, one element per chunk
        counts::=#ARRAY{INT}(vec.num_chunks);

        parloop
            -- The code between 'parloop' and 'do' is executed serially
            -- as in an ordinary loop.

            ch::=vec.chunks!;       -- Iterate over each chunk
            idx::=0.up!;            -- Find a place to put the result

        do @ where(ch);
            -- A thread is forked for the code following the 'do'.
            -- We want it to execute at the location of the chunk.

            -- 'm' is the maximum value seen by this thread.  Because it
            -- is declared inside the parloop, it is private to this thread.
            -- Similarly, 'ct' is private to this thread.
            m::= - FLT::infinity;
            ct::=0;

            -- Scan the local chunk for the maximum and update the count
            loop
                el::=ch.elt!;       -- Iterate over all elements
                if m=el then ct:=ct+1;
                elsif m<el then m:=el; ct:=1;
                end;
            end;

            -- Now update the global maximum.  The 'if' isn't strictly
            -- necessary, but avoids synchronization overhead for the
            -- common case.  The lock guarantees atomicity in case another
            -- thread has the same idea.
            if big<m then
                lock big_lk then big:=big.max(m); end;
            end;
```

```
            cohort.sync;      -- Wait for all threads (barrier sync)

            -- If the local max is the same as the global max, then our
            -- count is legitimate, so store it.  Otherwise, the count
            -- should stay zero as the array was initialized.
            if m=big then
                counts[idx]:=ct;
            end;

        end; -- parloop

        -- Print out what has been discovered
        #OUT + "The maximum value is: " + big + '\n';
        loop i::=0.for!(vec.num_chunks);
            #OUT + "Chunk " + i + " has " + counts[i] + " instances" + '\n';
        end;

    end; -- routine main
end; -- class MAIN

class DVEC < $DIST{VEC} is
    dir:DIRECTORY{VEC}; --DIRECTORY is a spread class, lists chunks
    num_chunks:INT;
    create(num_chunks:INT):SAME is ... end;
    chunks!:VEC is ... end; -- Iterate over chunks
    ...
end; -- class DVEC
```

# *The pSather 1.0 Specification*

## INTRODUCTION

Sather is an object oriented language that supports highly efficient computation and powerful abstractions for encapsulation and code reuse. pSather is a set of extensions to (serial) Sather to allow scalable reusable software units on shared or distributed-memory architectures. This document builds upon and assumes knowledge of the Sather 1.0 specification, available at http://http.icsi.berkeley.edu/Sather.

The pSather syntax is specified by grammar rules expressed in a variant of Backus-Naur form, following the Sather 1.0 specification. The full pSather 1.0 grammar is formed by the union of the grammar rules from the Sather 1.0 specification and those in this document.

This specification differs in many important ways from the previous "pSather 1.0" as described in ICSI TR-93-028. Many of the changes were prompted by conflicts with changes in serial Sather, while others are generalizations or streamlining of the previous pSather constructs.

# PARALLEL EXTENSIONS TO SATHER

## Threads

In serial Sather there is only one thread of execution; in pSather there may be many. Multiple threads are similar to multiple serial Sather programs executing concurrently, but threads share variables of a single namespace. A new thread is created by executing a *fork*, which may be either a fork statement (page 16) or an attach (page 14). The new thread is a *child* of the forking thread, which is the child's *parent*. pSather provides operations that can *block* a thread, making it unable to execute statements until some condition occurs. pSather threads that are not blocked will eventually run, but there is no other constraint on the order of execution of statements between threads that are not blocked. Threads no longer exist once they *terminate*. When a pSather program begins execution it has a single thread corresponding to the main routine.

Serial Sather defines a total order of execution of the program's statements; in contrast, pSather defines only a partial order. This partial order is defined by the union of the constraints implied by the consecutive execution order of statements within single threads and pSather synchronization operations between statements in different threads. As long as this partial order appears to be observed it is possible for an implementation to overlap multiple operations in time, so a child thread may run concurrently with its parent and with other children.

## Gates

Gates are powerful synchronization primitives which generalize fork/join, mailboxes, semaphores and barrier synchronization. Gates have the following unnamed attributes:

- A locked status (*unlocked*, or *locked* by a particular thread);
- In the case of GATE{T}, a queue of values which must conform to T, or
- In the case of the unparameterized class GATE, an integer counter;
- A set of *attached* threads. Every pSather thread is attached to exactly one gate[1]. Attached threads may be thought of as producers that enqueue their return value (or increment the counter) when they terminate.

One way that threads can be created is by executing an *attach*:

*attach* ⇒ *expression* :- *expression*

1. Even the main routine is attached to a gate; a pSather program terminates when all threads have terminated.

The left side must be of type GATE or GATE{T}. If the left side is of type GATE{T}, the return type of the right side must conform to T. If the left side is of type GATE, the right side must not return a value. There must be no iterators in the right side.

The left side is evaluated. If the gate is locked by another thread, the executing thread is suspended until the gate becomes unlocked; then a new thread is created to execute the right side. This new thread is attached to the gate.

Any local variables on the right side are evaluated before the thread is created. The new thread receives a unique copy of every local; changes to this local by the originating thread are not observed by the new thread. The rules for memory consistency apply for other variables such as attributes of objects (see page 20).

When execution of the right side completes, the new thread terminates, detaches itself from the gate, and enqueues the return value or increments the counter.

Gates can be used to signal threads about changes in their queue or attached threads. For example, a thread that wants to continue when a gate's queue has a value can use get to wait without looping.

In addition to having threads attached, gates support the following operations:

| Signature | Description | Exclusive? |
|---|---|---|
| create:SAME | Make a new unlocked GATE{T} with an empty queue and no attached threads. | N/A |
| size:INT | Returns number of elements in queue [GATE: returns counter]. | No |
| has_thread:BOOL | Returns true if there exists a thread attached to the gate. | No |
| set(T)<br>[GATE::set] | Replace head of queue with argument, or insert into queue if empty. [GATE: If counter is zero, set to one.] | Yes |
| get:T<br>[GATE::get] | Return head of queue; do not remove from queue. Blocks until queue is not empty. [GATE: Blocks until counter is nonzero.] | Yes |
| enqueue(T)<br>[GATE::enqueue] | Insert argument at tail of queue. [GATE: increment counter.] | Yes |
| dequeue:T<br>[GATE::dequeue] | Block until queue is not empty, then remove and return head of queue. [GATE: Block until counter nonzero, then decrement.] | Yes |

| Signature | Description | Exclusive? |
|---|---|---|
| clear | Clears the gate, emptying the queue [GATE: clearing counter], eventually setting the SYS::cleared flag of any attached threads and possibly raising an exception in them (see page 20). The thread calling clear may continue before the cleared threads observe the clear. | Yes |
| sync | Blocks until all attached threads are also blocking on a call to sync or have terminated. It is an error for sync to be called by a thread not attached to this gate. | Yes |

Some gate operations are *exclusive*: these lock the gate before proceeding and unlock it when the operation is complete. Only one thread may lock a gate at a time. The exclusive operations also perform imports and exports significant to memory consistency (page 20). Gates also support the operations listed on page 18.

## par *and* fork

A threads may be created by an attach, but may also be created with the *fork statement*, which must be syntactically enclosed in a *par statement*:

*fork_statement* ⇒ fork *statement_list* end

*par_statement* ⇒ par *statement_list* end

When a fork statement is executed, it forks a *body thread* to execute the statements in its body. Local variables which are declared outside the body of the innermost enclosing par statement are shared among all threads in the par body. The rules for memory consistency apply to body threads, so they may not see a consistent picture of the shared variables unless they employ explicit synchronization (page 20).

Each body thread receives a unique copy of every local declared in the innermost enclosing par body. When body threads begin, these copies have the value that the locals did at the time the fork statement was executed. Changes to a thread's copy of these variables are not observed by other threads. Iterators may not occur in a fork or par statement unless they are within an enclosed loop.

The thread executing a par statement creates a GATE object and forks a thread to execute the body. This newly created thread as well as all threads created by fork statements syntactically in the par body are attached to this same gate. The thread executing a par statement blocks until there are no threads attached to the cohort gate. The gate may be accessed by the special expression cohort, which must be syntactically enclosed in a par statement:

*expression* ⇒ cohort

quit, yield, and return are not permitted in a par or fork body. Unhandled exceptions within a par or fork are a fatal error (see page 21).

The *parloop statement* is syntactic sugar to make convenient a common parallel programming idiom:

*parloop_statement* ⇒ parloop *statement_list* do *statement_list* end

This is syntactic sugar for:

```
par
    loop
        statement_list
        fork
            statement_list
        end
    end
end
```

## *Locks*

Locks control the blocking and unblocking of threads. GATE, GATE{T} and MUTEX are special synchronization objects which provide a mutual exclusion lock. A thread *acquires* a lock, then *holds* the lock until it *releases* it. A single thread may acquire a lock multiple times concurrently; it will be held until a corresponding number of releases occur. Exclusive locks such as MUTEX may only be held by one thread at a time. In addition to simple exclusive locks, it is possible to lock on other more complex conditions (page 18).

Locks may be safely acquired with the *lock and try statements*:

*lock_statement* ⇒ lock *expression* { , *expression* } then *statement_list* end

*try_statement* ⇒ try *expression* { , *expression* } then *statement_list* [ else *statement_list* ] end

The type of all expressions must be subtypes of $LOCK (page 18). The thread executing the lock statement is said to be *locking on* the listed locks. The statement list following the then is called the *lock body*. A lock statement guarantees that all listed locks are atomically acquired before the body executes. The try statement does not block, and if it fails to acquire all the locks, it will instead execute the statements following the else, if present.

Because all listed locks are acquired atomically, deadlock can never occur due to concurrent execution of two or more lock statements with multiple locks, although it is possible for deadlock to occur by dynamic nesting of lock statements or in other ways.

The implementation of lock statements also ensures that threads that can run will eventually do so; no thread will face starvation because of the operation of the locking and scheduling implementation. However, it is frequently good practice to have threads whose programmer supplied enabling conditions are never met in a given run (exceptional cases) or are not met after some time (alternative methods). One thread in an infinite loop can prevent other threads from executing for an arbitrary time, unless it calls SYS::defer (page 20).

All locks acquired by the lock statement are released when the lock body stops executing; this may occur due to finishing the body, termination of a loop by an iterator, a return, a quit, or an exception. yield may not occur in a lock or try statement. Locks may also be unlocked before exiting the lock body by an unlock statement:

*unlock_statement* ⇒ unlock *expression*

An unlock statement must be syntactically within a lock body; in a par or fork statement an unlock must be inside an enclosed lock body. It is a fatal error if the expression does not evaluate to a $LOCK object which is locked by a syntactically enclosing lock statement.

## $LOCK *Classes*

All synchronization objects subtype from $LOCK.The following primitive $LOCK classes are built-in:

- GATE{T} and GATE (page 14).
- MUTEX: a simple mutual exclusion lock. Two threads may not simultaneously lock a MUTEX. MUTEX is a subset of the functionality of GATE, and may require less overhead when a GATE isn't needed.

In addition to these primitive $LOCK classes, some synchronization classes return $LOCK objects to allow different kinds of locking. The concrete type of the returned object is implementation dependent:

- GATE and GATE{T} define empty, not_empty, threads and no_threads which return $LOCK objects. These gate operations are not exclusive. Other gate operations are listed on page 14.

| | |
|---|---|
| empty:$LOCK | Returns a lock which blocks until the gate is lockable and the gate's queue is empty [GATE: counter zero]; then the gate is locked. Holding this lock does not prevent the holder from making the queue become not empty [counter become nonzero]. |
| not_empty:$LOCK | Returns a lock which blocks until the gate is lockable and the gate's queue is not empty [GATE: counter nonzero]; then the gate is locked. Holding this lock does not prevent the holder from making the queue become empty [counter zero]. |
| threads:$LOCK | Returns a lock which blocks until the gate is lockable and there is some thread attached to the gate; then the gate is locked. Holding this lock does not prevent the completion of attached threads. |
| no_threads:$LOCK | Returns a lock which blocks until the gate is lockable and there are no threads attached to the gate; then the gate is locked. Holding this lock does not prevent the attachment of threads by the holder. |

- RW_LOCK is used to manage reader-writer synchronization. If rw is an object of type RW_LOCK, then a lock on rw.reader or rw.writer blocks until no thread is locking on rw.writer. There is no guaranteed preference between readers and writers. Attempting to obtain a writer lock while holding the corresponding reader lock causes deadlock.

## *Memory consistency model*

Threads may communicate by writing and then reading variables or attributes of objects. All assignments are atomic (the result of a read is guaranteed to be the value of some previous write); assignments to value objects atomically modify all attributes. Writes are always observed by the thread itself. Writes are not guaranteed to be observed by other threads until an *export* is executed by the writer and a subsequent *import* is executed by the reader. Exports and imports are implicitly associated with certain operations:

| An import occurs: | An export occurs: |
|---|---|
| In a newly created thread | In parent thread when a child thread is forked |
| When exiting a par statement (children have terminated) | In the child on thread termination |
| When entering the body of a lock or try statement | On entering an unlock or exiting a lock or try |
| When exiting exclusive GATE and GATE{T} operations (listed on page 14). | When entering exclusive GATE and GATE{T} operations |

This model has the property that it guarantees sequential consistency to programs without data races.

## *The* SYS *Class*

pSather extends the SYS class with the following routines:

| | |
|---|---|
| cleared:BOOL | False when a thread begins. This flag eventually becomes true if clear is called on the gate the thread is attached to. If cleared is already true, then clearing the gate which the thread is attached to has no effect. This variable is unique to each thread. |
| trap_clear:BOOL<br>trap_clear(BOOL) | True when a thread begins. If true at the time the cleared flag changes from false to true (because the gate was cleared), the thread additionally encounters a CLEARED_EX exception. This variable is unique to each thread. |
| defer | Hint to scheduler that this is a good time to preempt this thread. |
| import | Execute an import operation (page 20). |
| export | Execute an export operation (page 20). |

### *Exceptions*

As a generalization of serial Sather, it is a fatal error if an exception occurs in a thread which is not handled within that thread by some protect statement. However, there is an implicit handler for CLEARED_EX on all threads which allows a thread to silently terminate. Thus in the absence of special measures by the programmer, clearing a gate will silently kill any attached threads by raising a CLEARED_EX which is caught by the implicit handler. This behavior can be changed by providing a new handler or disabling the exception (see trap_clear, page 20).

Exceptions in a lock body will not be raised outside the body until all associated locks have been released. Because par and fork bodies are executed as separate threads, an unhandled exception (other than CLEARED_EX) in the body is a fatal error.

# DISTRIBUTED EXTENSIONS

### *Locality and the Cluster Model*

The pSather executing environment defines a number of *clusters*. At any time a thread has an associated *cluster id* (an INT), its *locus of control*. Every thread also has a *fixed* or *unfixed* status. Unless modified explicitly, the locus of a fixed thread remains the same throughout the thread's execution. The locus of control of an unfixed thread may change at any time. When execution begins, the main routine is unfixed[2]. The unfixed status or fixed locus of control of a child thread is the same as the status or locus of its parent at the time of the fork.

The locus of a thread may be explicitly fixed or unfixed for the duration of the evaluation of an expression:

*expression* ⇒ *expression* @ ( *expression* | any )

An expression following the '@' must evaluate to an INT, which specifies the cluster id of the locus of control the thread will be fixed at while it evaluates the preceeding expression. It is a fatal error for a cluster id to be less than zero or greater than or equal to clusters. If any is given instead of a cluster id, the thread will be unfixed while it evaluates the expression. The '@' operator has lower precedence than any other operator.

2. The fixed or unfixed status of the main routine and other characteristics of unfixed threads and objects may be changed by compiler options. It is a legitimate implementation to have "unfixed" threads and objects behave as though they were fixed at the point of creation.

The '@' notation may also be used to explicitly fix or unfix body threads of fork and parloop statements.

*fork_statement* ⇒ fork @ ( *expression* | any ) ; *statement_list* end

*parloop_statement* ⇒ parloop *statement_list* do @ ( *expression* | any ) ; *statement_list* end

Although for these constructs the location expression may appear to be within the body, it is really part of the header. The location expression is executed before any threads are forked and is *not* part of the body.

All reference objects have a unique associated cluster id, the object's *location*, as well as a fixed or unfixed status. When a reference object is created by a fixed thread, its location will be the same as the locus of control when the new expression was executed. If the creating thread was unfixed, the object will be unfixed and its location may change at any time. A reference object is *near* to a thread if its current location is the same as the thread's locus of control, otherwise it is *far*.

There are several built-in expressions for location:

| Expression | Type | Description |
|---|---|---|
| here | INT | The cluster ID of the locus of control of the thread. |
| where(*expression*) | INT | The location of the argument. It is a fatal error for the argument to evaluate to void. If the argument is a value or spread type it returns here. |
| near(*expression*) | BOOL | True if the argument is not void and near. If the argument is a value or spread type it returns ~void(x). |
| far(*expression*) | BOOL | True if the argument is not void and far. If the argument is a value or spread type it returns false. |
| clusters | INT | Number of clusters. Although a constant, may not be available at compile time. |
| clusters! | INT | Iterator which returns all cluster ids in some order. This may bring the compiler's attention to opportunities for optimization. |

It is also possible to assert that particular reference objects remain near at run-time:

*with_near_statement* ⇒ with *ident_or_self_list* near *statement_list* [else *statement_list]* end

*ident_or_self_list* ⇒ *identifier* | self { , *identifier* | self }

The *identifier_list* may contain local variables, arguments, and self; these are called *near variables*. When the with statement begins execution, the identifiers are checked to ensure that all of them hold either near objects or are void. If this is true then the statements following near are executed, and it is a fatal error if the identifiers stop holding either near objects or void at any time. Unfixed objects will not change location while they are held by near variables. It is a fatal error if some identifiers hold neither near nor void and there is no else. Otherwise, the statements following the else are executed.

## *Spread Objects*

A *spread class* replicates object attributes and array elements across all clusters.

*class* ⇒
    *[* spread | value | external *]* class *uppercase_identifier*
    *[* { *parameter_declaration {*, *parameter_declaration }* } *] [ subtyping_clause ]*
    is *[ class_element ] { ; [ class_element ] }* end

An object of a spread class has a distinct instance of each attribute and array element on each cluster. Attribute and array accesses read or write only the instance on the cluster of the locus of execution; therefore the instance on a particular cluster can be accessed with the idiom "*spread_var*@*location*". The new expression in a spread class is used just as in a reference class; there is a single integer argument if the class has an array portion.